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U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NO

PIESCHEL ET AL-3 PCT

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U S APPLICATION NO (if known, see 37 CFR 1.5)

99/980155

INTERNATIONAL APPLICATION NO.
PCT/EP00/04589INTERNATIONAL FILING DATE
20 MAY 2000PRIORITY DATE CLAIMED
28 MAY 1999

TITLE OF INVENTION

FILTERS CONSISTING OF FILTER PAPER OR PAPER-TYP NONWOVEN MATERIAL

APPLICANT(S) FOR DO/EO/US

FRIEDEMANN PIESCHEL ET AL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT/ISA/210 - Int'l. Search Report (English)

Applicant Claims Priority under 35 U.S.C. §119 of GERMAN Application No. 199 24 435.9, filed: 28 MAY 1999.

Applicant Claims Priority under 35 U.S.C. §120 of: PCT No. PCT/EP00/04589 filed 20 MAY 2000.

APPLICATION NO. (if known, see 37 CFR 1.5)

09/920155

INTERNATIONAL APPLICATION NO
PCT/EP00/04589ATTORNEY'S DOCKET NO
PIESCHEL ET AL-3 PCT☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

.....\$710.00

Neither international preliminary examination fee paid (37 CFR 1.82) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,040.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims	13 - 20 =	- 0 -	X \$18.00	\$	
Independent Claims	1 - 3 =	- 0 -	X \$84.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	890.00
Reduction by 1/2 for Small Entity status, if applicable.				\$	445.00
SUBTOTAL =				\$	445.00
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	445.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
TOTAL FEES ENCLOSED =				\$	485.00
				Amount to be: refunded	\$
				charged	\$

☒ Applicant claims Small Entity status.

- a. ☒ A check in the amount of \$ 485.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 03-2468 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 03-2468. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Express Mail No. EL 871 449 956 USDate of Deposit November 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above, and is addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Lisa L. Vulpis
Lisa L. Vulpis

Edward R. Freedman
Signature

Edward R. Freedman, Reg. No. 26,048

09/980155

JCO3 Rec'd Patent

28 NOV 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: FRIEDEMANN PIESCHEL ET AL

PCT NO.: PCT/EP00/04589

PCT FILED: 20 MAY 2000

PRIORITY: 199 24 435.9

PRIORITY FILED: 28 MAY 1999

TITLE: FILTERS CONSISTING OF FILTER PAPER OR PAPER-TYPE NONWOVEN MATERIAL

PRELIMINARY AMENDMENT

ATTN.: BOX PCT APPLICATION

Ass't. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Preliminary to the initial Office Action, please amend the above-identified application as follows:

IN THE SPECIFICATION:

On Page 1, line 1, please insert the following paragraphs:

--CROSS REFERENCE TO RELATED APPLICATIONS

Applicants claim priority under 35 U.S.C. §119 of German Application No. 199 24 435.9, filed on May 28, 1999. Applicants also claim priority under 35 U.S.C. §120 of PCT/EP00/04589, filed on May 20, 2000. The international application under PCT article 21(2) was not published in English.--

On page 3, please replace the last paragraph with the following rewritten paragraph:

--The filters made of filter paper or paper-like nonwoven material partially or wholly consist of fibrous material containing cellulose. The properties of the filters are substantially enhanced by a special treatment of the material containing cellulose, either prior to or after the manufacture of the filter paper. According to the invention, the treatment is carried out in such a manner that the material containing cellulose is at least partially carbamided with urea up to a nitrogen content of 1 to 4% by mass bonded in amino-methane acid ester groups (carbamide groups), and phosphorylated with phosphoric acid or ammonium phosphate up to a phosphorus content of 3 to 8% by mass.

In addition to high filtration capacity, the filter produced from cellulose-containing material so modified additionally possess the special properties of binding hardening constituents as well as toxic heavy metals, which impair the flavor. An enhanced swelling property of the cellulose fibers is obtained by such a treatment, and a broader field of application is obtained in that way for the filters, which can be preferably employed for the separation of mechanical impurities from liquids and gases. In the case of aqueous solutions that need to be filtrated, the filters possess the advantageous property of exchanging the cations of ion-forming impurities for sodium or ammonium aluminum ions. Absorbed are in

particular polyvalent cations (hardening constituents, heavy metals etc.) but also cationic tensides, quaternary organic ammonium compounds etc. Other fields of application include dust removal, water technology, in particular in water pipelines, and the use of the filters as air, coffee, smoke or dust filters.--

On page 6, please replace the second complete paragraph with the following rewritten paragraph:

--It is important that the cellulose-containing fiber material is brought into a particularly reactive form prior to the phosphorylation and carmamidation reaction. Such a so-called activation is carried out by adjusting the moisture content of the cellulose-containing material by adding water to it in an amount of at least 30% by mass of the cellulose-containing material. The cellulose-containing starting material usually already has a water content of from 5 to 25%. In order to achieve the desired activation it is necessary that the cellulose-containing fiber material is subjected to the action of water over a longer period of time. The duration is substantially dependent upon the already existing moisture content of the material and amounts to at least half an hour.--

On page 7, please replace the last paragraph with the following rewritten paragraph:

--An important step of the method consists in that prior to the actual phosphorylation and carbamidation, the moisture present in the reaction mixture for the purpose of activation is almost completely expelled. This is achieved by heating the mixture to temperatures of from 60° to 100°C while applying a vacuum at the same time. Only once the water has been distilled off is it permissible to start the phosphorylation and carbamidation reaction, which is carried out by heating the mixture to a temperature of 125 to 155°C while simultaneously applying a vacuum and maintaining a reaction time of at least 15 minutes.--

On page 9, before the first complete paragraph, please insert the following paragraph:

--The phosphoric acid or ammonium phosphate is preferably added to the activated cellulose-containing material first and uniformly distributed, and the urea subsequently. The mixing times for admixing the phosphoric acid or ammonium phosphate and the urea amount to 15 minutes each. The reaction components phosphoric acid or ammonium phosphate and urea can be mixed with the cellulose-containing material also at room temperature. Prior to the activation, the cellulose-containing material can be heated to the temperature of the solution of urea and/or phosphoric acid or ammonium phosphate in water.--

On page 9, please replace the last paragraph with the following rewritten paragraph:

--The phosphorylation and carbamidation of filter paper or paper-like nonwoven material that has already been produced previously in the form of webs from cellulose-containing material is carried out under the following conditions: said starting material is treated with a solution of phosphoric acid and/or ammonium phosphate and urea in water at a molar ratio of urea to phosphorus of 2.5 : 1 to 4.5 : 1, whereby the amount of water is adjusted in such a way that 1 to 8 mols phosphorus per kg cellulose remain in the cellulose-containing starting material. The starting material can be treated on one or both sides by coating it with the solution, or it is impregnated in a bath of the solution in a device operating in cycles.--

On page 10, please replace the first complete paragraph with the following rewritten paragraph:

--The water is completely expelled by a subsequent vacuum treatment with simultaneous heating of the starting material to a temperature of 60° to 100°C. Thereafter, the phosphorylation and carbamidation reaction is carried out under vacuum as well, at a temperature of 125° to 155°C and in the course of a reaction time of at least 15 minutes. The vacuum is preferably adjusted in each

case to a value of 5.33 kPa to 26.66 kPa.--

On page 11, please replace the first complete paragraph with the following rewritten paragraph:

--Example 1

100 g cotton linters (linters 503 of the Buckeye Mephis Company) present in the form of cardboard-like webs was cut into pieces. In a dish, a solution prepared at 60°C from 74.7 ml water, 61.4 g 85% phosphoric acid and 111.3 g urea was poured over said pieces and the dish was turned over frequently. After the solution was completely and uniformly absorbed, the dish was covered airtight and stored for one hour at room temperature. The dish was subsequently placed in a vacuum drying cabinet, a vacuum of 5.33 kPa was applied, and drying was carried out at 90° to 100°C. When no more steam was left to be removed by suction, the temperature was raised to 140°C and maintained for 1.5 hours, whereby the vacuum was maintained as well. Obtained was 191.8 g of an externally unchanged reaction product, which was stirred into water, filtered off and washed until the wash water was free of phosphate. The product was dried in the drying cabinet at 110°C, whereby the yield came to 149.3 g.--

On page 11, please replace the last paragraph with the following rewritten paragraph:

--A sample of the fiber material so obtained was converted by washing with concentrated common salt solution from the ammonium form into the sodium form, washed free of the salt, and subsequently dried. The elementary analysis of said specimen resulted in a phosphorus content of 5.6% by mass and a nitrogen content of 1.3% by mass.--

On page 14, please replace the first complete paragraph with the following rewritten paragraph:

--Example 2

100 g filter paper consisting of spruce cellulose for laboratory purposes, which was present in the form of sheets in the DIN A4-format, was placed on a substrate and uniformly coated with a solution of 28.3 g ammonium phosphate and 50.9 g urea in 126 ml water, whereby the entire amount of the solution was consumed. After 30 minutes, the substrates with the sheets were placed in a vacuum drying cabinet, a vacuum of 6.67 kPa was applied, and all water was expelled by heating to 100°C. The temperature was raised within 30 minutes to 155°C. This temperature was maintained for 30 minutes, and venting and cooling was then carried out rapidly. The result was 137.7 g product, which could be washed free of phosphate by careful washing while preserving the original shape of the sheets. After the sheets were dried at 110°C in the normal drying cabinet, 121.0 g treated filter paper was obtained as the result.--

On page 14, please replace the second complete paragraph with the following rewritten paragraph:

--The elementary analysis following conversion into the Na-form as in example 1 showed a phosphorus content of 3.3% by mass and a nitrogen content of 1.9% by mass.--

A marked-up copy is attached.

IN THE CLAIMS:

Please cancel claims 1-13 and replace with new claims 14-26 as follows:

--14. Filters consisting of filter paper or paper-type nonwoven material which partially or wholly consist of fibrous material containing cellulose, characterized in that the cellulose-containing material is at least partially carbamided with urea and phosphorylated with phosphoric acid or ammonium phosphate until a nitrogen content in the form of carbamide groups of from 1 to 4% by mass and a phosphorus content of from 3 to 8% by mass is reached.

15. A method for producing filter paper or paper-type nonwoven material from fibrous, cellulose-containing material for filters according to claim 14, characterized by the following steps of the method:

- (a) Activation of the cellulose-containing material by adding water to it in an amount of at least 30% by mass of the cellulose-containing material and this moisture content is maintained for a duration of at least half an hour.
- (b) Addition of phosphoric acid or ammonium phosphate in an amount of 1 to 8 mols per kg anhydrous, cellulose-containing material.
- (c) Addition of urea at a molar ratio of urea to phosphoric acid or ammonium phosphate of 2.5:1 to 4.5:1.
- (d) Mixing of the components urea and phosphoric acid or ammonium phosphate with the activated, cellulose-containing material until the components are uniformly distributed.
- (e) Evaporation of the moisture contained in the mixture formed according to process steps (a) to (d) by heating the mixture to a temperature of 60° to 100°C while simultaneously applying a vacuum.
- (f) Execution of a phosphorylating and carbamiding reaction by heating the mixture to a temperature of 125° to 155°C while simultaneously applying a vacuum, maintaining a reaction time of at least 15 minutes; and
- (g) cooling of the reaction product to the normal temperature and washout of the impurities.

16. The method according to claim 15, characterized in that

30 to 100% by mass water is added for the activation and said moisture content is maintained for a duration of at least one hour and the phosphorylation and carbamidation is carried out by heating the mixture to a temperature of 125° to 145°C while simultaneously applying a vacuum and maintaining a reaction time of one to four hours.

17. The method according to claim 15, characterized in that the phosphoric acid or ammonium phosphate is added to the activated, cellulose-containing material first and uniformly distributed, and the urea is added subsequently.

18. The method according to claim 15, characterized in that the mixing times for admixing the phosphoric acid or ammonium phosphate and the urea each amount to at least 15 minutes.

19. The method according to claim 15, characterized in that the reaction components phosphoric acid or ammonium phosphate and urea are mixed with the cellulose-containing material at room temperature.

20. The method according to claim 15, characterized in that phosphoric acid or ammonium phosphate and/or urea are mixed with the amount of water intended for the activation, and the solution so obtained is mixed with the cellulose-containing material for the

activation.

21. The method according to claim 20, characterized in that the mixing of the phosphoric acid or ammonium phosphate and/or urea with the water is carried out under heating to temperatures of up to 60°C.

22. The method according to claim 20, characterized in that prior to the activation, the cellulose-containing material is heated to the temperature of the solution of urea and/or phosphoric acid or ammonium phosphate in water.

23. The method according to claim 15, characterized in that the cellulose-containing material is formed by a mixture of different celluloses.

24. The method according to claim 15, characterized by the following steps of the method:

- (a) Production of cellulose-containing filter paper or paper-like nonwoven material in the form of a web- or leaf-shaped starting material in the manner known per se;
- (b) treatment of the starting material obtained according to process step (a) with a solution of urea and phosphoric acid and/or ammonium phosphate in water at a molar ratio

of urea to phosphorus of 2.5:1 to 4.5:1, whereby the amount of water is adjusted in such a way that 1 to 8 mols phosphorus per kg cellulose remain in the cellulose-containing starting material, and the water content is maintained for a time duration of at least one half hour for activating the starting material;

- (c) a subsequent vacuum treatment and heating of the starting material to a temperature of from 60° to 100°C in order to completely expel the water;
- (d) execution of a phosphorylation and carbamidation reaction of the starting material treated according to process steps (b) and (c) at a temperature of from 125° to 155°C under vacuum in the course of a reaction time of at least 15 minutes; and
- (e) subsequent cooling and washing free of phosphate and final drying of the treated starting material.

25. The method according to claim 15, characterized in that the applied vacuum is adjusted to a value of 5.33 kPa to 26.66 kPa.

26. The method according to claim 15, characterized in that prior to washing and drying, the phosphorylated and carbamided cellulose-containing fiber material is converted from the ammonium form into the sodium form by treating it with a solution of common salt.--

REMARKS

By this Preliminary Amendment, the application has been amended to conform with U.S. practice, the cross-reference to the related application has been inserted on page 1. Also, claims 1-13 have been replaced by new claims 14-26. No new matter has been introduced.

Entry of this amendment is respectfully requested.

Respectfully submitted,

FRIEDEMANN PIESCHEL ET AL




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Express Mail No. EL 871 449 956 US
Date of Deposit November 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above, and is addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202


Lisa L. Vulpis

capacity and are additionally capable of absorbing and binding undesirable foreign or attendant substances in the filter medium such as, for example hardening constituents or heavy metals. Furthermore, the problem of the invention is to provide suitable methods for producing the filters.

The problem is solved according to the invention by the features specified in claim 1. The features relating to the proposed methods for producing the filters are the objects of claims 2 to 13.

The filters made of filter paper or paper-like nonwoven material partially or wholly consist of fibrous material containing cellulose. The properties of the filters are substantially enhanced by a special treatment of the material containing cellulose, either prior to or after the manufacture of the filter paper. According to the invention, the treatment is carried out in such a manner that the material containing cellulose is at least partially carbamided with urea up to a nitrogen content of 1 to 4% by mass bonded in amino-methane acid ester groups (carbamide groups), and phosphorylated with phosphoric acid or ammonium phosphate up to a phosphorus content of 3 to 8% by mass. In addition to high filtration capacity, the filter produced from cellulose-containing material so modified additionally possess the special properties of binding hardening constituents as well as toxic heavy metals, which impair the

within the specified range limits depending on the purpose of application of the filters.

The phosphorylation and carbamidation of the cellulose-containing starting material for the production of the filter paper or the paper-type nonwoven material is carried out under the following conditions:

It is important that the cellulose-containing fiber material is brought into a particularly reactive form prior to the phosphorylation and carbamidation reaction. Such a so-called activation is carried out by adjusting the moisture content of the cellulose-containing ^{*}fibers to a value in excess of 30% in particular by adding water. The cellulose-containing starting material usually already has a water content of from 5 to 25%. In order to achieve the desired activation it is necessary that the cellulose-containing fiber material is subjected to the action of water over a longer period of time. The duration is substantially dependent upon the already existing moisture content of the material and amounts to at least half an hour.

The reaction partners phosphoric acid or ammonium phosphate and urea have to be admixed to the cellulose-containing material in such a way that said reaction partners are present in the material with uniform distribution after the mixing process has been completed. In * material by adding water to it in an amount of at least 30% by mass of the cellulose-containing material

phosphorylation and carbamidation reaction, which is carried out [under vacuum as well]. by heating the mixture to a temperature of 125 to 155°C while simultaneously applying a vacuum and maintaining a reaction time of at least 15 minutes.

Carrying out said reaction under vacuum leads to a number of decisive advantages. Of great importance is that the reaction temperature can be reduced by about 40°C as compared to when it is carried out under normal pressure. Secondary reactions of phosphoric acid or ammonium phosphate and urea are distinctly reduced in this way, and decomposition reactions of the cellulose-containing fibrous material are suppressed. For example, it is possible, furthermore, to reduce the amounts of the reaction components urea and phosphoric acid or ammonium phosphate used. Furthermore, a careful treatment of the cellulose-containing material is assured as the phosphorylation and carbamidation is being carried out owing to the low reaction temperatures and reduced amounts of phosphoric acid or ammonium phosphate and urea used. In this way, the structures and mechanical properties of the cellulose-containing fibrous materials are preserved in the course of the reaction to a large extent, which is very important for the manufacture of the paper or nonwoven material.

Furthermore, it is important to maintain reaction times of at least 15 minutes. If the reaction times are shorter, the phosphoric acid used, for example, is reacted incompletely, and in particular the nitrogen content will be

starting material is treated with a solution of phosphoric acid and/or ammonium phosphate ^{and urea} in water at a molar ratio of urea to phosphorus of 2.5 : 1 to 4.5 : 1, whereby the amount of water is adjusted in such a way that 1 to 8 mols phosphorus per kg cellulose remain in the cellulose-containing starting material. The starting material can be treated on one or both sides by coating it with the solution, or it is impregnated in a bath of the solution in a device operating in cycles.

The water is completely expelled by a subsequent vacuum treatment with simultaneous heating of the starting material to a temperature of 60° to 100°C. Thereafter, the phosphorylation and carbamidation reaction is carried out under vacuum as well, at a temperature of 125° to 155°C and

in the course of a reaction time of at least 15 minutes. The vacuum is preferably adjusted in each case to a value of 5.33 kPa to 26.66 kPa

The phosphorylated and carbamided starting material is subsequently cooled, washed phosphate-free, and finally dried. The desired filters are then produced from the modified filter paper or nonwoven material in the manner known per se by punching, folding and winding.

In connection with filters used in applications for drinking water, the present ammonium form is converted before the phosphorylated and carbamided cellulose-containing material is washed and dried into the sodium form by treating it with a solution of common salt. The treatment

is carried out either on modified fibers prior to the actual manufacture of the paper, or on the modified filter paper or nonwoven material.

Example 1

100 g cotton linters (linters 503 of the Buckeye Mephis Company) present in the form of cardboard-like webs was cut into pieces. In a dish, a solution prepared at 60°C from 74.7 ml water, 61.4 g 85% phosphoric acid and 111.3 g urea was poured over said pieces and the dish was turned over frequently. After the solution was completely and uniformly absorbed, the dish was covered airtight and stored for one hour at room temperature. The dish was subsequently placed in a vacuum drying cabinet, a vacuum of ~~40 Torr~~ ^{5.33 kPa} was applied, and drying was carried out at 90° to 100°C. When no more steam was left to be removed by suction, the temperature was raised to 140°C and maintained for 1.5 hours, whereby the vacuum was maintained as well. Obtained was 191.8 g of an externally unchanged reaction product, which was stirred into water, filtered off and washed until the wash water was free of phosphate. The product was dried in the drying cabinet at 110°C, whereby the yield came to 149.3 g.

A sample of the fiber material so obtained was converted by washing with concentrated common salt solution from the ammonium form into the sodium form, washed free of the salt,

and subsequently dried. The elementary analysis of said specimen resulted in a phosphorus content of 5.6% ^{by mass} and a nitrogen content of 1.3% by mass.

The fiber material so prepared was subsequently tested for its sorptive properties.

The sorption equilibrium data were determined according to the following method:

250 ml measuring flasks were loaded with the fiber samples (0.1 to 0.025 g) and each charged with 1 to 5 ml m/10 solutions of salts of the metals Cu and Ca, filled up, provided with magnetic stirrers, and stirred for 3 hours at room temperature. Upon settlement, the solutions were decanted, their pH was determined, and the metal content was determined complexometrically. The equilibrium concentrations in the fiber were calculated based on the equilibrium concentrations in the solution so obtained and on the starting concentrations fixed by the addition of metal salt solutions. By adding corresponding amounts of nitric acid before the measuring flasks were filled up, the pH in the sorption was adjusted to pH = 4.5. Several control measurements of the equilibrium concentrations in the solution by means of atom absorption spectroscopy (AAS) showed deviations in the range of the measuring accuracy and in this way confirmed the reliability of complexo-metric analyses in the sorption tests.

The result was a filtrate with 0.4 °dH and a copper content of 0.01 mg/l.

Example 2

100 g filter paper consisting of spruce cellulose for laboratory purposes, which was present in the form of sheets in the DIN A4-format, was placed on a substrate and uniformly coated with a solution of 28.3 g ammonium phosphate and 50.9 g urea in 126 ml water, whereby the entire amount of the solution was consumed. After 30 minutes, the substrates with the sheets were place in a vacuum drying cabinet, a vacuum of $\frac{6.67 \text{ kPa}}{50 \text{ Torr}}$ was applied, and all water was expelled by heating to 100°C. The temperature was raised within 30 minutes to 155°C. This temperature was maintained for 30 minutes, and venting and cooling was then carried out rapidly. The result was 137.7 g product, which could be washed free of phosphate by careful washing while preserving the original shape of the sheets. After the sheets were dried at 110°C in the normal drying cabinet, 121.0 g treated filter paper was obtained as the result.

The elementary analysis following conversion into the Na-form as in example 1 showed a phosphorus content of 3.3%^{by mass} and a nitrogen content of 1.9%by mass.

The sorption capacity determined analogous to example 1 showed for copper 66.7 mg Cu/g filter, and for calcium 44.1

09/980155

JCO3 Rec'd PCT/P10 28 NOV '00

FILTERS CONSISTING OF FILTER PAPER OR PAPER-TYPE NONWOVEN
MATERIAL

Description

The invention relates to filters consisting of filter paper or paper-type non-woven material. Said filters partially or wholly consist of fibrous material containing cellulose.

Filter paper is a type of paper produced from cellulose, plastic fibers or glass fibers and used for the filtration in households, technical applications and for analyses. Paper-type nonwoven materials are composite materials consisting of fibrous material containing cellulose. Filter bags, for example, or filter cartridges produced by winding or folding are manufactured from filter paper or paper-like nonwoven materials. The filters so produced are disposed of after they have been used once. In connection with filters used as one-way, disposable articles it is deemed desirable that such filters are biodegradable for reasons of environmental protection. This, however, is achieved only if the filters exclusively consist of cellulose.

Filters generally serve for separating solid particles from gases or liquids. Especially in connection with the filtration of aqueous media, however, it is frequently

deemed desirable that certain ions can be jointly separated as well, either in order to achieve an additional purification effect or to obtain an enrichment in the filter paper. This relates in particular to toxic heavy metals as well as to hardening constituents in drinking water, as well as to the concentration of metal traces in larger water samples for the purpose of simpler analytical detection.

No fibers for filter materials have become known heretofore that have an adequate capacity for absorbing hardening constituents in order to effect a noticeable enhancement in the production of beverages in the presence of the usual degrees of water hardness, on the one hand, and which are capable of binding heavy metals with adequate strength so as to effect a notable flavor enhancement with the possible low concentrations on the other. Commercially available systems for improving the quality of drinking water, therefore, comprise a cartridge filled with ion exchangers as their core component. However, such cartridges are known to pose problems due to the multiplication of germs if there is no flow-through in the water system, and they load the environment because such cartridges can be recycled only incompletely.

The invention was based on the problem of providing filters consisting of filter paper or paper-type nonwoven material that are characterized by a high filtration

capacity and are additionally capable of absorbing and binding undesirable foreign or attendant substances in the filter medium such as, for example hardening constituents or heavy metals. Furthermore, the problem of the invention is to provide suitable methods for producing the filters.

The problem is solved according to the invention by the features specified in claim 1. The features relating to the proposed methods for producing the filters are the objects of claims 2 to 13.

The filters made of filter paper or paper-like nonwoven material partially or wholly consist of fibrous material containing cellulose. The properties of the filters are substantially enhanced by a special treatment of the material containing cellulose, either prior to or after the manufacture of the filter paper. According to the invention, the treatment is carried out in such a manner that the material containing cellulose is at least partially carbamided with urea up to a nitrogen content of 1 to 4% bonded in amino-methane acid ester groups (carbamide groups), and phosphorylated with phosphoric acid or ammonium phosphate up to a phosphorus content of 3 to 8%. In addition to high filtration capacity, the filter produced from cellulose-containing material so modified additionally possess the special properties of binding hardening constituents as well as toxic heavy metals, which impair the

flavor. An enhanced swelling property of the cellulose fibers is obtained by such a treatment, and a broader field of application is obtained in that way for the filters, which can be preferably employed for the separation of mechanical impurities from liquids and gases. In the case of aqueous solutions that need to be filtrated, the filters possess the advantageous property of exchanging the cations of ion-forming impurities for sodium or ammonium aluminum ions. Absorbed are in particular polyvalent cations (hardening constituents, heavy metals etc.) but also cationic tensides, quaternary organic ammonium compounds etc. Other fields of application include dust removal, water technology, in particular in water pipelines, and the use of the filters as air, coffee, smoke or dust filters.

From the treated cellulose fibers it is possible to produce in the manner known per se filter paper or paper-type nonwoven material either made exclusively of cellulose fibers or in mixture with other suitable starting materials for such filters such as, for example plastics or glass fibers.

It is then possible to produce from the filter paper or the paper-like nonwoven material different types of filters such as, for example filter bags or cartridge filters. The filters are used as one-way filters, as a rule. Filters exclusively consisting of cellulose and/or the modified

cellulose-containing material as defined by the invention offer the advantage that they are completely biodegradable.

All fibers with a high cellulose content that are suitable for the manufacture of paper such as, for example cotton linters, sulfate and sulfite celluloses from various timbers, and fibers recycled from old paper can be used as cellulose fibers. The following possibilities are available with respect to the phosphorylation and carbamidation reaction:

- Treatment of the entire cellulose-containing starting material prior to the manufacture of the filter paper or paper-containing nonwoven material;
- treatment of a partial amount of the required cellulose starting material prior to the manufacture of the filter paper or paper-like nonwoven material, and subsequent mixing of said partial amount with untreated cellulose-containing fiber material; and
- manufacture of the filter paper or paper-like nonwoven material in the manner known per se, and subsequently treatment of the web of filter paper or paper-like nonwoven material by phosphorylation and carbamidation.

Different degrees of phosphorylation and carbamidation can be adjusted for the nitrogen and phosphorus contents

within the specified range limits depending on the purpose of application of the filters.

The phosphorylation and carbamidation of the cellulose-containing starting material for the production of the filter paper or the paper-type nonwoven material is carried out under the following conditions:

It is important that the cellulose-containing fiber material is brought into a particularly reactive form prior to the phosphorylation and carbamidation reaction. Such a so-called activation is carried out by adjusting the moisture content of the cellulose-containing fibers to a value in excess of 30% in particular by adding water. The cellulose-containing starting material usually already has a water content of from 5 to 25%. In order to achieve the desired activation it is necessary that the cellulose-containing fiber material is subjected to the action of water over a longer period of time. The duration is substantially dependent upon the already existing moisture content of the material.

The reaction partners phosphoric acid or ammonium phosphate and urea have to be admixed to the cellulose-containing material in such a way that said reaction partners are present in the material with uniform distribution after the mixing process has been completed. In

addition to the aforementioned activation, attention has to be paid in particular to a uniform distribution of the reaction partners in the cellulose-containing fiber material.

It is not absolutely necessary to maintain a defined sequence for adding the reaction partners.

The activation can be advantageously combined with the mixing of urea and/or phosphoric acid or ammonium phosphate. From the amounts of urea and/or phosphoric acid or ammonium phosphate and the amount of water required for the activation, a clear solution of said components is formed, if necessary under heating of up to 60°C. Said solution is used instead of water for activating the cellulose-containing fibrous material. In the course of the activation it is necessary only to make sure that no loss of water occurs.

An important step of the method consists in that prior to the actual phosphorylation and carbamidation, the moisture present in the reaction mixture for the purpose of activation is almost completely expelled. This is achieved by heating the mixture to temperatures of from 60° to 100°C while applying a vacuum at the same time. Only once the water has been distilled off is it permissible to start the

phosphorylation and carbamidation reaction, which is carried out under vacuum as well.

Carrying out said reaction under vacuum leads to a number of decisive advantages. Of great importance is that the reaction temperature can be reduced by about 40°C as compared to when it is carried out under normal pressure. Secondary reactions of phosphoric acid or ammonium phosphate and urea are distinctly reduced in this way, and decomposition reactions of the cellulose-containing fibrous material are suppressed. For example, it is possible, furthermore, to reduce the amounts of the reaction components urea and phosphoric acid or ammonium phosphate used. Furthermore, a careful treatment of the cellulose-containing material is assured as the phosphorylation and carbamidation is being carried out owing to the low reaction temperatures and reduced amounts of phosphoric acid or ammonium phosphate and urea used. In this way, the structures and mechanical properties of the cellulose-containing fibrous materials are preserved in the course of the reaction to a large extent, which is very important for the manufacture of the paper or nonwoven material.

Furthermore, it is important to maintain reaction times of at least 15 minutes. If the reaction times are shorter, the phosphoric acid used, for example, is reacted incompletely, and in particular the nitrogen content will be

too low. Furthermore, it has been found that after excessively long reaction times, i.e. in excess of four hours, the absorption capacity clearly diminishes, whereby the known condensation reactions among the phosphate group to diphosphates etc. obviously take place. Upon expiration of the reaction time, the reaction product is cooled to normal temperature in the manner known per se, and the impurities are washed out.

Any desired technical quality can be used as phosphoric acid, in particular the commercially available 85% grade. Furthermore, instead of the total or part of the phosphoric acid it is possible also to use equivalent amounts of the ammonium phosphates. Urea is preferably suited in the pelletized form; however, any other technical, commercially available urea is suitable as well.

According to the proposed method, even only small amounts of urea and phosphoric acid or ammonium phosphate lead to fibers with high absorptive capacity. This applies to both the absorptive capacity and the strength with which in particular heavy metals are bound.

The phosphorylation and carmabidation of filter paper or paper-like nonwoven material that has already been produced previously in the form of webs from cellulose-containing material is carried out under the following conditions: said

starting material is treated with a solution of phosphoric acid and/or ammonium phosphate in water at a molar ratio of urea to phosphorus of 2.5 : 1 to 4.5 : 1, whereby the amount of water is adjusted in such a way that 1 to 8 mols phosphorus per kg cellulose remain in the cellulose-containing starting material. The starting material can be treated on one or both sides by coating it with the solution, or it is impregnated in a bath of the solution in a device operating in cycles.

The water is completely expelled by a subsequent vacuum treatment with simultaneous heating of the starting material to a temperature of 60° to 100°C. Thereafter, the phosphorylation and carbamidation reaction is carried out under vacuum as well, at a temperature of 125° to 155°C and in the course of a reaction time of at least 15 minutes.

The phosphorylated and carbamided starting material is subsequently cooled, washed phosphate-free, and finally dried. The desired filters are then produced from the modified filter paper or nonwoven material in the manner known per se by punching, folding and winding.

In connection with filters used in applications for drinking water, the present ammonium form is converted before the phosphorylated and carbamided cellulose-containing material is washed and dried into the sodium form by treating it with a solution of common salt. The treatment

is carried out either on modified fibers prior to the actual manufacture of the paper, or on the modified filter paper or nonwoven material.

Example 1

100 g cotton linters (linters 503 of the Buckeye Mephis Company) present in the form of cardboard-like webs was cut into pieces. In a dish, a solution prepared at 60°C from 74.7 ml water, 61.4 g 85% phosphoric acid and 111.3 g urea was poured over said pieces and the dish was turned over frequently. After the solution was completely and uniformly absorbed, the dish was covered airtight and stored for one hour at room temperature. The dish was subsequently placed in a vacuum drying cabinet, a vacuum of 40 Torr was applied, and drying was carried out at 90° to 100°C. When no more steam was left to be removed by suction, the temperature was raised to 140°C and maintained for 1.5 hours, whereby the vacuum was maintained as well. Obtained was 191.8 g of an externally unchanged reaction product, which was stirred into water, filtered off and washed until the wash water was free of phosphate. The product was dried in the drying cabinet at 110°C, whereby the yield came to 149.3 g.

A sample of the fiber material so obtained was converted by washing with concentrated common salt solution from the ammonium form into the sodium form, washed free of the salt,

and subsequently dried. The elementary analysis of said specimen resulted in a phosphorus content of 5.6% and a nitrogen content of 1.3%.

The fiber material so prepared was subsequently tested for its sorptive properties.

The sorption equilibrium data were determined according to the following method:

250 ml measuring flasks were loaded with the fiber samples (0.1 to 0.025 g) and each charged with 1 to 5 ml m/10 solutions of salts of the metals Cu and Ca, filled up, provided with magnetic stirrers, and stirred for 3 hours at room temperature. Upon settlement, the solutions were decanted, their pH was determined, and the metal content was determined complexometrically. The equilibrium concentrations in the fiber were calculated based on the equilibrium concentrations in the solution so obtained and on the starting concentrations fixed by the addition of metal salt solutions. By adding corresponding amounts of nitric acid before the measuring flasks were filled up, the pH in the sorption was adjusted to $\text{pH} = 4.5$. Several control measurements of the equilibrium concentrations in the solution by means of atom absorption spectroscopy (AAS) showed deviations in the range of the measuring accuracy and in this way confirmed the reliability of complexo-metric analyses in the sorption tests.

The sorption capacities so determined amounted to 100.1 mg Cu/g fiber for copper, and to 62.9 mg Ca/g fiber for calcium.

The strength of the absorption was determined with the help of equilibrium data at low equilibrium concentrations (below 10 mg/l) in the solution (at room temperature as well and at a pH of 4.5). For the sake of better clarity of the data, the usual metal-specific equilibrium coefficient K_{Me} was calculated according to the formula

$$K_{Me} = C_s/C_l.$$

C_s is in this connection the equilibrium concentration in the sorbent in mg/g, and C_l the equilibrium concentration of metal in the solution in mg/l.

The following value was obtained for the fiber sample:

$$K_{Cu} = 47 \text{ l/g.}$$

The fiber sample was mixed with the same amount by weight of untreated cotton linters and processed to a filter paper in the conventional manner. A piece of said paper weighing 1.5 g (12 cm diameter) was used for filtering one liter of a tap water with 10.1 °dH and a copper content of 0.3 mg/l.

The result was a filtrate with 0.4 °dH and a copper content of 0.01 mg/l.

Example 2

100 g filter paper consisting of spruce cellulose for laboratory purposes, which was present in the form of sheets in the DIN A4-format, was placed on a substrate and uniformly coated with a solution of 28.3 g ammonium phosphate and 50.9 g urea in 126 ml water, whereby the entire amount of the solution was consumed. After 30 minutes, the substrates with the sheets were placed in a vacuum drying cabinet, a vacuum of 50 Torr was applied, and all water was expelled by heating to 100°C. The temperature was raised within 30 minutes to 155°C. This temperature was maintained for 30 minutes, and venting and cooling was then carried out rapidly. The result was 137.7 g product, which could be washed free of phosphate by careful washing while preserving the original shape of the sheets. After the sheets were dried at 110°C in the normal drying cabinet, 121.0 g treated filter paper was obtained as the result.

The elementary analysis following conversion into the Na-form as in example 1 showed a phosphorus content of 3.3% and a nitrogen content of 1.9%.

The sorption capacity determined analogous to example 1 showed for copper 66.7 mg Cu/g filter, and for calcium 44.1

mg Ca/g filter. The equilibrium coefficient for copper amounts to

$$K_{Cu} = 46 \text{ l/g.}$$

One (1) liter tap water with a hardness of 16.2 °dH and 0.1 mg copper/liter was filtrated through a round filter cut from the product. The filter had a diameter of 10 cm and a weight of 1.9 g. The filtrate then still had a hardness of only 4.6 °dH and the copper content had dropped to 0.005 mg Cu/liter.

Claims

1. Filters consisting of filter paper or paper-type nonwoven material which partially or wholly consist of fibrous material containing cellulose, characterized in that the cellulose-containing material is at least partially carbamided with urea and phosphorylated with phosphoric acid or ammonium phosphate until a nitrogen content in the form of carbamide groups of from 1 to 4% and a phosphorus content of from 3 to 8% is reached.

2. A method for producing filter paper or paper-type nonwoven material from fibrous, cellulose-containing material for filters according to claim 1, characterized by the following steps of the method:

- (a) Activation of the cellulose-containing material by adjusting it to a moisture content of 30 to 100% and maintaining said moisture content for the duration of at least one hour.
- (b) Addition of phosphoric acid or ammonium phosphate in an amount of 1 to 8 mols per kg anhydrous, cellulose-containing material.
- (c) Addition of urea at a molar ratio of urea to phosphoric acid or ammonium phosphate of 2.5:1 to 4.5:1.

5. The method according to any one of claims 2 to 4, characterized in that the mixing times for admixing the phosphoric acid or ammonium phosphate and the urea each amount to at least 15 minutes.

6. The method according to any one of claims 2 to 5, characterized in that the reaction components phosphoric acid or ammonium phosphate and urea are mixed with the cellulose-containing material at room temperature.

7. The method according to any one of claims 2 to 6, characterized in that phosphoric acid or ammonium phosphate and/or urea are mixed with the amount of water intended for the activation, and the solution so obtained is mixed with the cellulose-containing material for the activation.

8. The method according to claim 7, characterized in that the mixing of the phosphoric acid or ammonium phosphate and/or urea with the water is carried out under heating to temperatures of up to 60°C.

9. The method according to any one of claims 7 or 8, characterized in that prior to the activation, the cellulose-containing material is heated to the temperature of the solution of urea and/or phosphoric acid or ammonium phosphate in water.

10. The method according to any one of claims 2 to 9, characterized in that the cellulose-containing material is formed by a mixture of different materials.

11. The method for producing filter paper or paper-like nonwoven material from cellulose-containing fibrous material according to claim 1, characterized by the following steps of the method:

- (a) Production of cellulose-containing filter paper or paper-like nonwoven material in the form of a web- or leaf-shaped starting material in the manner known per se;
- (b) treatment of the starting material obtained according to process step (a) with a solution of urea and phosphoric acid and/or ammonium phosphate in water at a molar ratio of urea to phosphorus of 2.5:1 to 4.5:1, whereby the amount of water is adjusted in such a way that 1 to 8 mols phosphorus per kg cellulose remain in the cellulose-containing starting material;
- (c) a subsequent vacuum treatment and heating of the starting material to a temperature of from 60° to 100°C in order to completely expel the water;
- (d) execution of a phosphorylation and carbamidation reaction of the starting material treated according to process steps (b) and (c) at a temperature of

from 125° to 155°C under vacuum in the course of a reaction time of at least 15 minutes; and

- (e) subsequent cooling and washing free of phosphate and final drying of the treated starting material.

12. The method according to any one of claims 2 to 11, characterized in that the applied vacuum is adjusted to a value of 50 to 200 Torr.

13. The method according to any one of claims 1 to 12, characterized in that prior to washing and drying, the phosphorylated and carbamided cellulose-containing fiber material is converted from the ammonium form into the sodium form by treating it with a solution of common salt.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)ATTORNEY'S DOCKET NUMBER
PIESCHEL ET AL-3 PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FILTERS CONSISTING OF FILTER PAPER OF PAPER-TYPE NONWOVEN MATERIAL

the specification of which (check only one item below):

☐ is attached hereto.☐ was filed as United States application

Serial No. _____

on _____,

and was amended

on _____ (if applicable).

☒ was filed as PCT international applicationNumber PCT/EP00/04589on 20 MAY 2000,

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

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COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
GERMANY	199 24 435.9	28 MAY 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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U.S. APPLICATIONS			STATUS (Check One)		
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PCT APPLICATIONS DERIVING FROM THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBER ASSIGNED (If any)			

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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DATE 22.11.2001

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